PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
09931-00037-US

| CONCERNING A SUBMISSI | | U.STABPLICATION NO. (IF Known, see 37 CFR 1.5) | | | | | | |
|---|--|---|--|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO. PCT/EP2003/009199 | INTERNATIONAL FILING DATE 20 August 2003 | PRIORITY DATE CLAIMED 6 September 2002 | | | | | | |
| | R THE PRODUCTION OF ARYL-AR | | | | | | | |
| | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Aure | elie Falcou et al. | | | | | | | |
| Applicant herewith submits to the United Sta | ites Designated/Elected Office (DO/EO/U | S) the following items and other information: | | | | | | |
| 1. x This is a FIRST submission of it | ems concerning a submission under 35 | 5 U.S.C. 371. | | | | | | |
| 2. This is a SECOND or SUBSEQ | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 3. This is an express request to be include items (5), (6), (9) and (2 | | 35 U.S.C. 371 (f)). The submission must | | | | | | |
| 4. x The US has been elected (Articl | The US has been elected (Article 31). | | | | | | | |
| 5. x A copy of the International Appli | 5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | |
| a. x is attached hereto (required | a. x is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| b. has been communicated by | b. has been communicated by the International Bureau. | | | | | | | |
| c. is not required, as the applic | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| 6. x An English language translation | X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). | | | | | | | |
| a. x is attached hereto. | | | | | | | | |
| b. has been previously submitte | has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | |
| 7. X Amendments to the claims of the | X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) | | | | | | | |
| are attached hereto (required | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| b. have been communicated by | b. have been communicated by the International Bureau. | | | | | | | |
| c. have not been made; however | have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | |
| d. have not been made and will | have not been made and will not be made. | | | | | | | |
| 8. X An English language translation of | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | |
| <u></u> | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | |
| An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | | | | | | | |
| Items 11 to 20 below concern docum | ent(s) or information included: | | | | | | | |
| 11. x An Information Disclosure Stater | ment under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. An assignment document for record | rding. A separate cover sheet in compliar | nce with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. x A preliminary amendment. | | | | | | | | |
| 14. x An Application Data Sheet under | 37 CFR 1.76. | | | | | | | |
| 15. A substitute specification. | | | | | | | | |
| 16. A power of attorney and/or chang | ge of address letter. | | | | | | | |
| 17. A computer-readable form of the | sequence listing in accordance with PC | T Rule 13 <i>ter.</i> 2 and 37 CFR 1.821 - 1.825. | | | | | | |
| 18. A second copy of the published I | nternational Application under 35 U.S. | C. 154(d)(4). | | | | | | |
| 19. A second copy of the English lan | guage translation of the international a | pplication under 35 U.S.C. 154(d)(4). | | | | | | |
| | n PCT/ISA/210 in English, Form PCT/R /IPEA/409 | O/101, Form PCT/IB/308, Form | | | | | | |

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| U.S. APPLICATION N | S. APPLICATION NO. (If known, see 37 CFR.1.5) | | | | | ATTORNEY'S DOCKET NUMBER | | | |
|---|--|------------|---|---------------------|--------------|--------------------------|--------------------------|--|----|
| | 11260 | 7). | <u> </u> | 1 | 2003/00 | 9199 | ┺ | 09931-0003 | |
| | wing fees have t | | | | | | CALCULATIONS PTO USE ONI | | |
| 21. x Basic | 1. x Basic national fee\$300 | | | | | \$ 300 | \$ | 300.00 | |
| 22. x Exam | ination fee | | | | | | | | |
| | eliminary examination | | | | | | | ** | |
| All other situation | | | | ••••• | | | \$ | 200.00 | |
| 23. x Searc | ch fee | | | | | | T | | |
| Search fee (37 C | FR 1.445(a)(2)) has | been pai | d on th | ne international ap | plication to | the | | | |
| USPTO as an International Searching Authority\$100 | | | | ı | | | | | |
| International Search Report prepared and provided to the Office | | | | | \$ | 500.00 | | | |
| | TOTAL OF 21 | l, 22 and | 23 = | | | | \$ | 1,000.00 | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | |
| Total Sheets | Extra sheets | | nber of each additional 50 or fraction ereof (round up to a whole number RATE | | | | | | |
| 24 - 100 = | /50 = | <u> </u> | | | | x \$250.00 | 1_ | | |
| | 30.00 for furnishing laimed priority date | | | | n 30 mont | hs | \$ | | |
| CLAIMS | NUMBER | | | MBER EXTRA | R | ATE | t | | |
| Total claims | 20 - | 20 = | | | х | | \$ | 0.00 | |
| Independent clair | ms 1 | - 3 = | | | х | | \$ | 0.00 | |
| MULTIPLE DEPE | NDENT CLAIM(s) | (if applic | | | + | | \$ | | |
| | | | | TAL OF ABOVE | | | \$_ | 1,000.00 | |
| Applicant cl | aims small entity sta | atus. See | 37 CF | R 1.27. Fees abo | ve are redu | iced by 1/2. | \$ | | |
| | | | | | SUB | STOTAL = | \$ | 1,000.00 | |
| | \$130.00 for furnish claimed priority date | | | | in 30 month | ns + | \$ | | |
| TOTAL NATIONAL FEE = | | | | \$ 1,000.00 | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | | | | | |
| | TOTAL FEES ENCLOSED = | | | | LOSED = | \$ | 1,000.00 | | |
| | Amount to be refunded: | | | | | \$ | | | |
| | | | | | | | | mount to be parged: | \$ |
| a. A che | ck in the amount o | f \$ | | | to cover th | e above fees | is e | nclosed. | |
| b \[\sqrt{2} \] | | - | | | | | | | |
| b. X Please charge my Deposit Account No. 03-2775 in the amount of \$ 1,000.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any | | | | | | | | ту | |
| overpa | overpayment to Deposit Account No. 03-2775 . A duplicate copy of this sheet is enclosed. | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| NOTE: Where ar | n appropriate time | limit und | er 37 (| CFR 1.495 has no | t been met | t, a petition to | | and authorization or ive 187 CFR 1.137 (a) | |
| | nd granted to resto | | usmati | unai Application | ro beuging | status. (| | A) | |
| SEND ALL CORRESPONDENCE TO: SIGNATURE: Ashley I. Pezzner | | | | | | <u></u> | | | |
| | | | | | NAME | | <u> </u> | . 1 0221101 | |
| CUSTOMER | NUMBER: 23416 | 3 | | | | | 25 | 646 | |
| 300.0 | | • | | | REGIS | STRATION N | | ,646 3ER | |
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DT06 Feet T/PT0 0 7 MAR 2005
PT0/SB/17 (12-04v2)
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|---|--|---------------------------------|-----------------------|--|--|--|--|--|
| Effective on 12/08/2004. | Complete if Known | | | | | | | |
| Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). | Application Number | Not Yet Assigned | | | | | | |
| FEE TRANSMITTAL | Filing Date | Concurrently Herev | | | | | | |
| For FY 2005 | First Named Inventor | Aurelie Falcou | | | | | | |
| | Examiner Name | Not Yet Assigned | | | | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | Art Unit | N/A | | | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1,000.00 | Attorney Docket No. | 09931-00037-US | | | | | | |
| METHOD OF PAYMENT (check all that apply) | | | | | | | | |
| Check Credit Card Money Order None Other (please identify): | | | | | | | | |
| X Deposit Account Deposit Account Number: 03-2775 Deposit Acc | ount Name: Conno | lly Bove Lodge & Hu | tz LLP | | | | | |
| For the above-identified deposit account, the Director is | hereby authorized to: (che | eck all that apply) | | | | | | |
| x Charge fee(s) indicated below | | ndicated below, except | for the filing fee | | | | | |
| Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 | | | | | | | | |
| FEE CALCULATION | | | - | | | | | |
| 1. BASIC FILING, SEARCH, AND EXAMINATION FEES | | | | | | | | |
| · | ARCH FEES EXAMI | NATION FEES | | | | | | |
| Small Entity | Small Entity | Small Entity | · | | | | | |
| Application Type Fee (\$) Fee (\$) | | | ees Paid (\$) | | | | | |
| Utility 300 150 500 | 250 200 | 100 | 1,000.00 | | | | | |
| Design 200 100 100 | 50 130 | 65 | | | | | | |
| Plant 200 100 300 | 150 160 | . 80 | [| | | | | |
| Reissue 300 150 500 | 250 600 | 300 | | | | | | |
| Provisional 200 100 0 | 0 0 | 0 | | | | | | |
| 2. EXCESS CLAIM FEES | | F | Small Entity | | | | | |
| Fee Description Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 | | | | | | | | |
| Each independent claim over 3 (including Reissues) | | 20 | | | | | | |
| Multiple dependent claims | | 36 | | | | | | |
| Total Claims | aid (\$) N | /ultiple Dependent Cl | | | | | | |
| 20 -20 = x = | Fee (\$) Fee Paid (\$) | | | | | | | |
| | <u> </u> | | | | | | | |
| Indep. Claims | aid (\$) | | | | | | | |
| 3. APPLICATION SIZE FEE | | | | | | | | |
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 | | | | | | | | |
| sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | | |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) 24 - 100 = /50 (round up to a whole number) x = | | | | | | | | |
| 4. OTHER FEE(S) Fees Paid (\$) | | | | | | | | |
| Non-English Specification, \$130 fee (no small entity discount) | | | | | | | | |
| Other (e.g., late filing surcharge): 1631 Basic National Stage fee 300.00 | | | | | | | | |
| 1633 National Stage Examination Fee - all other 200.00 1632 National Stage Search Fee - all other situations 500.00 | | | | | | | | |
| SUBMITTED BY | | | | | | | | |
| Signature Co. D. Co. Co. Co. Co. Co. Co. Co. Co. Co. Co | Registration No. 35.646 | Telephone (302 | 2) 658 0141 | | | | | |
| This reg & Ung/VU/ | (Attorney/Agent) 35,040 | 1 / / | 2) 658-9141 | | | | | |
| Name (Print/Type) Ashley I. Pezzner 07) | | Date 2 / - / / | 7/- | | | | | |

DTOS Fac'd PCT/PTO 0 7 MAR 2005

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 09931-00037-US

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV473532160US in an envelope addressed to:

> MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

J. Lynn Ferry Typed or printed name of person signing Certificate (302) 658-9141 Registration Number, if applicable Telephone Number

Note:

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each submitted paper.

Transmittal Letter to the United States Designated-Elected Office

Fee Transmittal **Application Data Sheet**

Copy of the International application with search report attached

Form PCT/ISA/210 in English

Form PCT/RO/101 Form PCT/IB/308 Form PCT/IPEA/409

English language translation of the International application Information Disclosure Statement, SB08, with references

Preliminary Amendment

Postcard

Charge \$1,000.00 to deposit account 03-2775